



Department of the Interior
U.S. Fish and Wildlife Service

Expires 7/2007
OMB No. 1018-0022

Federal Fish and Wildlife Permit Application Form

Return to: Migratory Bird Permit Office
U.S. Fish and Wildlife Service

Type of Activity:
Migratory Bird Scientific Collecting

Complete section A or B, and sections C and D of this application. See attached Permit Application Instructions.

A. Complete if applying as an individual						
1.a. Last name:		1.b. First name:		1.c. Middle name or initial:	1.d. Suffix	
1.e. Doing business as (dba):		2.a. Mailing Address (line 1):		2.b. Mailing Address (line 2):		
2.c. Mailing Address (line 3):		3.a. City:	3.b. County:		3.c. Province:	3.d. State:
3.e. Zip code/postal code :	3.f. Country:		4. Date of birth (mm/dd/yyyy):		5. Social Security No:	
6. Occupation:		7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this permit:				
8. Home telephone number:	9. Work telephone number:		10. Fax number:		11. E-mail address:	

B. Complete if applying as a business, corporation, public agency or institution					
1.a. Name of business, agency, or institution:		1.b. Doing business as (dba):		2. Tax identification no.:	
3.a. Mailing address (line 1):		3.b. Mailing address (line 2):		3.c. Mailing address (line 3):	
4.a. City:		4.b. County		4.c. State:	4.d. Zip code:
5.a. Principal officer - Last name:		5.b. First name:		5.c. Middle name or initial	5.d. Suffix
5.e. Principal officer title:		6. Describe the type of business, agency, or institution and provide state of incorporation:			
7. Home telephone number:	8. Work telephone number:		9. Fax number:		10. E-mail address:

C All applicants complete	
1. Do you currently have or have you had any Federal Fish and Wildlife permits? (For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.) Yes <input type="checkbox"/> If yes, list the number(s) of the most current permit you have held: _____ No <input type="checkbox"/>	
2. Have you obtained all required State, Federal or foreign government approval(s) to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the approval(s). Have applied <input type="checkbox"/> Not required <input type="checkbox"/>	
3. Enclose a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$100 if you are applying for a new permit or \$50 if you are requesting a substantive amendment to your existing valid permit. Federal, tribal, state, and local government agencies, and individuals and institutions acting on behalf of such agencies, are exempt	
4. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
5. Signature (in blue ink) of applicant/person responsible for permit in Section A or B. (Photocopies/stamped signatures are not accepted.)	
6. Date (mm/dd/yyyy):	

D. SCIENTIFIC COLLECTING (Migratory Bird Treaty Act, 50 CFR 21.21, 21.23; Eagle Act, 50 CFR 22.21)

Note: A Scientific Collecting Permit is required to capture or collect migratory birds for scientific purposes. Permits are available to scientists and researchers affiliated with scientific or educational institutions, organizations, or agencies. Specimens collected under a scientific collecting permit must be released or deposited with a public scientific or educational institution upon completion of the study, unless you hold a special purpose permit authorizing possession for a longer period. Eagle parts must be deposited with the National Eagle Repository. A scientific collecting permit may be valid for up to 3 years.

You should be as specific as possible in your responses to the questions below. We strongly recommend that you submit your application at least 60 days prior to the date that you need your permit, as required by 50 CFR 13.11(c).

Please make sure you attach a copy of any pertinent State permits, as requested on page 1 of this application.

Please provide the following information on a separate sheet of paper:

1. A description of your proposed activity, including:
 - (a) The species (scientific and common name) and quantity of birds, parts, nests, and eggs you propose to collect. If this will be a multi-year project, indicate the species and quantity to be collected each year.
 - (b) The type of collecting you propose (e.g., trap and release, live-capture, kill, salvage).
 - (c) If live-capture is proposed, the method of capture, and if applicable, where and for how long the birds will be maintained in captivity and a description of the enclosures.
 - (d) If trap and release, where birds will be released to the wild, including specific sites if known
 - (e) Location or locations (State and county, or equivalent) where collecting is proposed, including specific sites if known. (If you will be collecting on Federal lands, you will require additional authorization from the land manager.)
 - (f) The dates or seasons collecting will occur. If migratory game bird species will be collected, indicate whether it will be during hunting season for the species. If during the hunting season, indicate whether the proposed method of collecting is lawful under the applicable hunting regulations.
 - (g) The date you wish to start collecting.
 - (h) The date you anticipate completing the collecting and holding of birds.
2. The purpose and justification for the proposed collecting, including an outline or study proposal for any research involved.
3. A full description of your background and expertise in conducting the proposed activities. If this information is already contained in another permit file in your permitting office, you may refer to the permit number for that file.
4. The name and address of the public scientific or educational institution(s) to which all migratory bird specimens will be donated upon completion of study.
5. Indicate if you wish your scientific collecting permit to include authorization to import and/or export lawfully acquired migratory bird specimens for scientific or educational purposes. If you request this authorization, describe the types of specimens that will be shipped, to and from whom specimens will be shipped, and the U.S. port(s) of entry/exit you will use. (**Note:** You may require additional permits for species protected by the Convention on International Trade in Endangered Species (CITES), the U.S. Endangered Species Act, or the Wild Bird Conservation Act. **Bald and golden eagles may not be imported or exported.**)
6. If you propose to collect in the United States but do not reside here, provide the name of a contact, an address, and telephone number where you can be reached in the United States.
7. You must retain records relating to the activities conducted under your permit for at least 5 years from the date of expiration of the permit. Please provide the address where these records will be kept.
8. Subpermittees: Please provide the name and address of anyone who will be conducting activities under the permit. Briefly describe what they will be doing and their qualifications. Anyone who will be assisting you with the permitted activities must either possess their own Federal permit or be listed as a subpermittee under your permit. (They may also require a State permit.) As the primary permittee, you will be legally responsible for ensuring that your subpermittees adhere to the terms of your permit.

PERMIT APPLICATION FORM INSTRUCTIONS

The following instructions pertain to the standard Permit Form 3-200 that must be completed as an application for a U.S. Fish and Wildlife Service. The General Permit Procedures in 50 CFR 13 address the permitting process. For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.

- Complete section A or B, and sections C and D of the application. Print clearly or type in the information. An incomplete or unclear application may cause delays in processing or may be returned to the applicant.
- Provide any required additional information or attachments outlined in section D of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application.
- Sign the application in **blue ink** and send the original to the address at the top of the application. Faxes or copies of the original signature will not be accepted.
- Please plan ahead. Allow at least 60 days for your application to be processed (50 CFR 13.11). Some applications may take longer than 90 days to process. Applications are processed in the order they are received.
- Additional forms and instructions are available on the Internet at <http://permits.fws.gov/>.

Most of the application form is self-explanatory, but the following provides some assistance for completing the form.

COMPLETE EITHER BLOCK A OR BLOCK B:

- Block A. **"Complete if applying as an individual"** - Enter the complete name of the responsible individual who will be the permittee if a permit is issued. Enter personal information that identifies the applicant. All blocks must be completed. If you are applying as an individual but conducting permitted activities as a business, provide the name of the business. If you are applying on behalf of a client, the personal information must pertain to the client. A document evidencing power of attorney must be included with the application.
- Block B. **"Complete if applying as a business, corporation, public agency, or institution"** - Enter the complete name and address of the business, agency, institution, or other organization that will be the permittee if a permit is issued. Give a brief description of the type of business or activity the applicant is engaged in, the name and phone number of the person in charge (i.e., principal officer), and if the company is incorporated, the state in which it is incorporated.

ALL APPLICANTS COMPLETE BLOCK C:

- Block C.1 **"Do you currently have or have you had any Federal Fish and Wildlife permits?"** List the number(s) of your most current FWS or CITES permit or the number of the most recent permit if none are currently valid. (So not list permits of others under which you acted as a subpermittee or agent.)
- Block C.2 **"Have you obtained all required State, Federal or foreign government approval to conduct the activity you propose?"** (Please be aware that there may be other requirements necessary to conduct this activity, such as local zoning requirements.) If "yes," list the State, Federal or foreign countries involved and type of document required. Include a copy of these documents with the application. If "no," indicate what steps you have taken to secure approval (use attachment if necessary). If you have applied for the documents, check the "have applied" box and list the State, Federal or foreign countries involved and type of documents required. If the proposed activity is not regulated check "not required."
- Block C.3 **"Check or money order (if applicable)"** - There is a permit processing fee unless you are fee exempt. Consult the enclosed APPLICATION PROCESSING FEE information. Make your check or money order payable to the "U.S. Fish and Wildlife Service" and attach it to the application form. If you are fee exempt, write "exempt" in the fee block.
- Block C.4 **"CERTIFICATION"** The individual identified in Section A, the principal officer named in Section B, or person with a valid power of attorney (documentation must be included in the application) must sign and date the application in **blue ink**. This signature binds the applicant to the statement of certification. This means that you certify that you have read and understand the regulations that apply to the permit. You also certify that everything included in the application is true to the best of your knowledge. Be sure to read the statement and re-read the application before signing.

ALL APPLICANTS COMPLETE SECTION D:

Provide any required additional information outlined in Section D of the application form. Be as complete and descriptive as possible. If there is any doubt as to the information's relevance, include it with the application.



Department of the Interior
U.S. Fish and Wildlife Service
Federal Fish and Wildlife Permit Application Form

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Return to: Migratory Bird Permit Office
U.S. Fish and Wildlife Service

Type of Activity:
Migratory Bird Scientific Collecting

Complete section A or B, and sections C and D of this application. See attached Permit Application Instructions.

A. Complete if applying as an individual					
1.a. Last name:		1.b. First name:		1.c. Middle name or initial:	
				1.d. Suffix:	
1.e. Doing business as (dba):		2.a. Mailing Address (line 1):		2.b. Mailing Address (line 2):	
2.c. Mailing Address (line 3):		3.a. City:		3.b. County:	
				3.c. Province:	
				3.d. State:	
3.e. Zip code/postal code :		3.f. Country:		4. Date of birth (mm/dd/yyyy):	
				5. Social Security No:	
6. Occupation:		7. List of any business, agency, organizational, or institutional affiliation associated with the wildlife or plants to be covered by this permit:			
8. Home telephone number:		9. Work telephone number:		10. Fax number:	
				11. E-mail address:	

B. Complete if applying as a business, corporation, public agency or institution					
1.a. Name of business, agency, or institution:		1.b. Doing business as (dba):		2. Tax identification no.:	
Tesoro Alaska Company		Tesoro Alaska Company		321654	
3.a. Mailing address (line 1):		3.b. Mailing address (line 2):		3.c. Mailing address (line 3):	
54741 Tesoro Rd.					
4.a. City:		4.b. County:		4.c. State:	
Kenai		NA		AK	
4.d. Zip code:					
99611					
5.a. Principal officer - Last name:		5.b. First name:		5.c. Middle name or initial:	
Clemens		Joe		Edward	
5.d. Suffix:				NA	
5.e. Principal officer title:		6. Describe the type of business, agency, or institution and provide state of incorporation:			
Area Director		Oil Refinery/ Transport			
7. Home telephone number:		8. Work telephone number:		9. Fax number:	
(907)776-3231		(907)776-1400		(907)776-5886	
				10. E-mail address:	
				jclemens@tesoropetroleum.com	

C All applicants complete	
1. Do you currently have or have you had any Federal Fish and Wildlife permits? (For simplification, all licenses, permits, registrations, and certificates will be referred to as a permit.) Yes <input checked="" type="checkbox"/> If yes, list the number(s) of the most current permit you have held: 1900-2004 No <input type="checkbox"/>	
2. Have you obtained all required State, Federal or foreign government approval(s) to conduct the activity you propose? Yes <input type="checkbox"/> If yes, provide a copy of the approval(s). Have applied <input checked="" type="checkbox"/> Not required <input type="checkbox"/>	
3. Enclose a check or money order payable to the U.S. FISH AND WILDLIFE SERVICE in the amount of \$25.00. Institutions which qualify under 50 CFR 13.11(d) may be exempt from the application processing fee.	
4. Certification: I hereby certify that I have read and am familiar with the regulations contained in Title 50, Part 13, of the Code of Federal Regulations and the other applicable parts in subchapter B of Chapter I of Title 50, and I certify that the information submitted in this application for a permit is complete and accurate to the best of my knowledge and belief. I understand that any false statement herein may subject me to the criminal penalties of 18 U.S.C. 1001.	
5. Signature (in blue ink) of applicant/person responsible for permit in Section A or B. (Photocopies/stamped signatures are not accepted.)	
7. Date (mm/dd/yyyy): 5/13/05	

APPLICATION FOR A FEDERAL FISH AND WILDLIFE PERMIT

Paperwork Reduction Act and the Privacy Act – Notices

In accordance with the Paperwork Reduction Act of 1995 (44 U.S.C. 3501, et seq.) and the Privacy Act of 1974 (5 U.S.C. 552a), please be advised that:

1. The gathering of information on fish and wildlife is authorized by:
 - (a) Bald and Golden Eagle Protection Act (16 U.S.C. 668), Title 50, Part 22, of the Code of Federal Regulations (CFR);
 - (b) Endangered Species Act of 1973 (16 U.S.C. 1531-1544), Title 50, CFR Part 17;
 - (c) Migratory Bird Treaty Act (16 U.S.C. 703-712), Title 50, CFR Part 21;
 - (d) Marine Mammal Protection Act of 1972 (16 U.S.C. 1361 *et seq.*), Title 50 CFR Part 18
 - (e) Wild Bird Conservation Act (16 U.S.C. 4901-4916), Title 50, CFR Part 15;
 - (f) Lacey Act (18 U.S.C. 42); Injurious Wildlife, Title 50 CFR Part 16;
 - (g) Convention on International Trade in Endangered Species of Wild Flora and Fauna (CITES) (TIAS 8249); Title 50, CFR Part 23.
 - (h) General Provisions, Title 50 CFR Part 10;
 - (i) General Permit Procedures, Title 50 CFR Part 13; and
 - (j) Wildlife (Import/export/transport), Title 50 CFR Part 14.
2. Information requested in this form is purely voluntary. However, submission of requested information is required in order to process applications for permits authorized under the above laws. Failure to provide all requested information may be sufficient cause for the U.S. Fish and Wildlife Service to deny the request. Response is not required unless a currently valid Office of Management and Budget (OMB) control number is displayed on the form.
3. Certain applications for permits authorized under the Endangered Species Act of 1973 (16 U.S.C. 1539) and the Marine Mammal Protection Act of 1972 (16 U.S.C. 1371-1383) will be published in the Federal Register as required by the two laws.
4. Disclosures outside the Department of the Interior may be made without the consent of an individual if the disclosure is compatible with the purposes for which the record was collected. (Ref. 68 FR 52611, September 4, 2003)
 - (a) To subject matter experts, State, Federal, local, and foreign agencies for the purpose of obtaining advice relevant to making a decision on an application for a permit or when necessary to accomplish a FWS function related to this system of records.
 - (b) To the public as a result of publishing Federal Register notices announcing the receipt of permit applications for public comment or notice of the decision on a permit application.
 - (c) To Federal, State, local, or foreign wildlife and plant agencies for the exchange of information on permits granted or denied to assure compliance with all applicable permitting requirements.
 - (d) Captive-bred Wildlife registrants under the Endangered Species Act for the exchange of authorized species, and to share information on the captive breeding of these species.
 - (e) To Federal, State, and local authorities who need to know who is permitted to receive and rehabilitate sick, orphaned, and injured birds under the Migratory Bird Treaty Act and the Bald and Golden Eagle Protection Act; federally permitted rehabilitators; individuals seeking a permitted rehabilitator with whom to place a bird in need of care; and licensed veterinarians who receive, treat, or diagnose sick, orphaned, and injured birds.
 - (f) To the Department of Justice, or a court, adjudicative, or other administrative body or to a party in litigation before a court or adjudicative or administrative body, under certain circumstances.
 - (g) To the appropriate Federal, State, tribal, local, or foreign governmental agency responsible for investigating, prosecuting, enforcing, or implementing statutes, rules, or licenses, when we become aware of a violation or potential violation of such statutes, rules, or licenses, or when we need to monitor activities associated with a permit or regulated use.
 - (h) To a congressional office in response to an inquiry to the office by the individual to whom the record pertains.
 - (i) To the General Accounting Office or Congress when the information is required for the evaluation of the permit programs.
 - (j) To provide addresses obtained from the Internal Revenue Service to debt collection agencies for purposes of locating a debtor to collect or compromise a Federal claim against the debtor, or to consumer reporting agencies to prepare a commercial credit report for use by the FWS.
5. For individuals, personal information such as home address and telephone number, financial data, and personal identifiers (social security number, birth date, etc.) will be removed prior to any release of the application.
6. The public reporting burden on the applicant for information collection varies depending on the activity for which a permit is requested. The relevant burden for a migratory bird scientific collecting application is 5 hours, and 2 hours for reporting. This burden estimate includes time for reviewing instructions, gathering and maintaining data and completing and reviewing the form. You may direct comments regarding the burden estimate or any other aspect of the form to the Service Information Clearance Officer, U.S. Fish and Wildlife Service, Mail Stop 222, Arlington Square, U.S. Department of the Interior, 1849 C Street, NW, Washington D.C. 20240.

Freedom of Information Act – Notice

For organizations, businesses, or individuals operating as a business (i.e., permittees not covered by the Privacy Act), we request that you identify any information that should be considered privileged and confidential business information to allow the Service to meet its responsibilities under FOIA. Confidential business information must be clearly marked "Business Confidential" at the top of the letter or page and each succeeding page and must be accompanied by a non-confidential summary of the confidential information. The non-confidential summary and remaining documents may be made available to the public under FOIA [43 CFR 2.13(c)(4), 43 CFR 2.15(d)(1)(i)].

APPLICATION PROCESSING FEE

The fee to process a migratory bird permit application is \$25.00. Checks should be made payable to "U.S. Fish and Wildlife Service." The fee applies to new permit applications and renewals. The processing fee will not be refunded if the permit is issued or denied, or if the application is abandoned.

The fee schedule does not apply to any Federal, State, or local government agency or individual or institution under contract to such agency for the proposed activities. There is no fee for eagle permits issued under 50 CFR 22. Until further notice, the fee will be waived for permits for public institutions. As defined in 50 CFR 10.12 - "Public" as used in referring to museums, zoological parks, and scientific or educational institutions, refers to such as are open to the general public and are either established, maintained, and operated as a governmental service or are privately endowed and organized but not operated for profit."

More Information on this Form

When do you need this form?

When any oil spill recovery activities include the collecting of migratory birds for scientific purposes.

Who fills out this form?

The appropriate permitter with the responsible party.

Who signs this form?

The Incident Commander.

Where does this form get delivered?

Delivery address can be found through a link on page 1.